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## **BIB DATA SHEET**

|   |   |           |               |              |                           |                |                                       | CONFIRMATION NO. 6481 |                |                            |  |
|---|---|-----------|---------------|--------------|---------------------------|----------------|---------------------------------------|-----------------------|----------------|----------------------------|--|
| SERIAL NUM  | IBER  | FILING or |               |              | CLASS                     | GROUP ART UNIT |                                       | UNIT                  | ATTORNEY DOCKE |                            |  |
| 10/829,11   |   |           |               | 705          |                           |                | 3691                                  |                       | 99-1002-C1     |                            |  |
|   | RUL   |           | 1             |              |                           |                |                                       |                       |                |                            |  |
| APPLICANTS Suart A. Fraser, Armonk, NY: Andrew C. Gilbert, Califon, NJ: Philip M. Ginsberg, New York, NY; Glenn D. Kinvin, Scarsdale, NY; Howard W. Lutnick, New York, NY; Michael E. Williams, Morristown, NJ;  ****CONTINUING DATA*** This application is a CON of 09/553,423 04/19/2000 PAT 7,392,214 which claims benefit of 60/131,992 04/30/1999  ***FOREIGN APPLICATIONS***  ***IF REQUIRED, FOREIGN FILING LICENSE GRANTED *** 06/27/2004 |   |           |               |              |                           |                |                                       |                       |                |                            |  |
| Foreign Priority claim<br>35 USC 119(a-d) con<br>Verified and   | ed  | FTUS/     | Met at Allows | fter<br>ince | STATE OR<br>COUNTRY<br>NY |                | HEETS<br>AWINGS<br>32                 | TOT<br>CLAI           | MS             | INDEPENDENT<br>CLAIMS<br>4 |  |
| ADDRESS   |   |           |               |              |                           |                |                                       |                       |                |                            |  |
| DEAN P. ALDERUCCI<br>CANTOR FITZGERALD, L.P.<br>110 EAST 59TH STREET (6TH FLOOR)<br>NEW YORK, NY 10022<br>UNITED STATES   |   |           |               |              |                           |                |                                       |                       |                |                            |  |
| TITLE   |   |           |               |              |                           |                |                                       |                       |                |                            |  |
| Systems and methods for trading   |   |           |               |              |                           |                |                                       |                       |                |                            |  |
|   | FEES: Authority has been given in Paper No to charge/credit DEPOSIT ACCOUNT No for following: |           |               |              |                           |                | ☐ All Fees                            |                       |                |                            |  |
|   |   |           |               |              |                           |                | 1.16 Fees (Filing)                    |                       |                |                            |  |
| FILING FEE<br>RECEIVED  |   |           |               |              |                           |                | ☐ 1.17 Fees (Processing Ext. of time) |                       |                |                            |  |
| 1506  |   |           |               |              |                           |                | 1.18 Fees (Issue)                     |                       |                |                            |  |
|   |   |           |               |              |                           |                | Other                                 |                       |                |                            |  |
|   |   |           |               |              |                           |                | ☐ Credit                              |                       |                |                            |  |
|   |   |           |               |              |                           |                |                                       |                       |                |                            |  |